

SHORT REVIEW

Evaluating the role of natural selection in the evolution of gene regulation

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Surveys of gene expression reveal extensive variability both within and between a wide range of species. Compelling cases have been made for adaptive changes in gene regulation, but the proportion of expression divergence attributable to natural selection remains unclear. Distinguishing adaptive changes driven by positive selection from neutral divergence resulting from mutation and genetic drift is critical for understanding the evolution of gene expression. Here, we review the various methods that have been used to test for signs of selection in genomic expression data. We

also discuss properties of regulatory systems relevant to neutral models of gene expression. Despite some potential caveats, published studies provide considerable evidence for adaptive changes in gene expression. Future challenges for studies of regulatory evolution will be to quantify the frequency of adaptive changes, identify the genetic basis of expression divergence and associate changes in gene expression with specific organismal phenotypes. *Heredity* advance online publication, 23 May 2007; doi:10.1038/sj.hdy.6801000

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Introduction

When and where a gene is expressed, and how much of the gene product is made, can be as important as the biochemical function of the encoded RNA or protein (Raff, 1996; Carroll *et al.*, 2001; Davidson, 2001). In 1969, Britten and Davidson (1969) proposed a theory of gene regulation for eukaryotic cells that included a central role for regulatory divergence in phenotypic evolution. Subsequent comparisons of molecular and morphological phenotypes indicated that protein divergence was insufficient to account for the extensive phenotypic differences observed between species, and prompted the proposal that many adaptations may have arisen from changes in gene regulation rather than from changes in gene function (Wilson *et al.*, 1974; King and Wilson, 1975). Over the last 30 years, molecular studies of development and evolution, combined with studies of experimental evolution, have provided strong support for this hypothesis (for example Wray *et al.*, 2003 and Gompel *et al.*, 2005). Nonetheless, the relative importance of regulatory changes versus changes in protein function remains subject to debate.

Genetic and transgenic experiments have shown that changes in gene regulation often underlie morphological differences between species. Examples include changes in pelvic structures in threespine sticklebacks mediated by *Pitx1* (Shapiro *et al.*, 2004); trichome patterning in *Drosophila* mediated by *Ubx* (Stern, 1998); larval hairs in *Drosophila* mediated by *ovo/shaven-baby* (Sucena and

Stern, 2000); pigmentation in *Drosophila* mediated by *bric-a-brac* (Kopp *et al.*, 2000), *yellow* (Wittkopp *et al.*, 2002; Gompel *et al.*, 2005) and *ebony* (Wittkopp *et al.*, 2003); butterfly eyespots mediated by *Distal-less* (Beldade *et al.*, 2002) and beak size among Galapagos finches mediated by *BMP4* (Abzhanov *et al.*, 2004).

Experimental evolution in microorganisms also provides compelling evidence that regulatory evolution contributes to phenotypic divergence. Parallel mutations observed in replicate populations are likely to have been fixed by positive selection (Orr, 2005). Parallel expression divergence has been reported for experimental populations of both *Saccharomyces cerevisiae* and *Escherichia coli* (Ferea *et al.*, 1999; Cooper *et al.*, 2003; Riehle *et al.*, 2003; Fong *et al.*, 2005). In two populations of *E. coli* evolving independently for 20 000 generations in glucose-limited media, 59 genes acquired similar expression changes in both populations (Cooper *et al.*, 2003). Similarly, three strains of *S. cerevisiae* grown in glucose-limited media for 250 generations showed over 50 genes whose expression changed in parallel in all three lines, suggesting that these changes were adaptive (Ferea *et al.*, 1999).

Finally, studies elucidating the molecular basis of adaptations in domesticated crops also indicate a significant role for regulatory evolution in phenotypic evolution. For example, in maize, changes in expression of the *teosinte branched 1* (*tb1*) gene have evolved since it shared a common ancestor with teosinte (Doebley *et al.*, 1997). Mutations affecting *tb1* expression alter branching, consistent with morphological differences between domesticated corn and its wild relative (Hubbard *et al.*, 2002). Evidence of directional selection has been found in the upstream *cis*-regulatory regions of noncoding region of *tb1*, suggesting adaptive changes in expression of this gene (Clark *et al.*, 2006). Regulatory changes have also been implicated in the evolution of naked grains

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in maize (Wang *et al.*, 2005) and in the loss of seed shattering in rice (Konishi *et al.*, 2006).

These compelling examples illustrate that regulatory changes can underlie adaptations. But, do all changes in gene expression contribute to phenotypic evolution and how often is regulatory divergence adaptive? One way to obtain insight into this question is by using DNA microarrays to examine genomic patterns of gene expression within and between species and using statistical methods to distinguish neutral and nonneutral patterns of gene expression divergence. Indeed, methods originally developed to detect signs of selection on morphological characters and DNA sequences have now been applied to genomic expression data. Here, we review these tests, their applications and assumptions of the underlying models. We discuss features of regulatory evolution that may complicate the interpretation of these tests, including correlated changes in expression among genes, the relationship between genotype and gene expression and the dependency of mutational effects on current expression levels. We conclude by anticipating future directions for studies investigating the evolution of gene expression, including the identification of specific phenotypes affected by genes showing evidence of adaptive regulatory divergence.

Genomic variation in gene expression

Genome-wide measurements have revealed high rates of genetic variation in gene expression (typically >10% of genes) in humans (Enard *et al.*, 2002; Rockman and Wray, 2002; Bray *et al.*, 2003; Lo *et al.*, 2003; Whitney *et al.*, 2003; Morley *et al.*, 2004; Pastinen *et al.*, 2004; Radich *et al.*, 2004), mice (Cowles *et al.*, 2002; Schadt *et al.*, 2003; Shockley and Churchill, 2006), fish (Oleksiak *et al.*, 2002, 2005), flies (Jin *et al.*, 2001; Wayne and McIntyre, 2002; Meiklejohn *et al.*, 2003; Rifkin *et al.*, 2003; Nuzhdin *et al.*, 2004; Ranz *et al.*, 2004), yeast (Cavalieri *et al.*, 2000; Brem *et al.*, 2002; Townsend *et al.*, 2003; Yvert *et al.*, 2003; Fay *et al.*, 2004), plants (Kirst *et al.*, 2005; Vuylsteke *et al.*, 2005; Lai *et al.*, 2006) and bacteria (Le *et al.*, 2005). Patterns of expression divergence have also been compared between sexes (Jin *et al.*, 2001; Ranz *et al.*, 2003; Gibson *et al.*, 2004), across developmental stages (Rifkin *et al.*, 2003), among tissue types (Enard *et al.*, 2002; Whitehead and Crawford, 2005; Khaitovich *et al.*, 2005a,b) and over different environments (Fay *et al.*, 2004; Landry *et al.*, 2006).

The genetic architecture of population genetic variation in gene expression has also been examined in a number of organisms. Using standard quantitative genetics methods, gene expression has been shown to be a heritable, often polygenic, trait (Brem *et al.*, 2002; Schadt *et al.*, 2003; Monks *et al.*, 2004; Brem and Kruglyak, 2005; Cheung *et al.*, 2005). Like many other quantitative traits, variation in gene expression shows evidence of dominance and nonadditive (epistatic) interactions among loci (Gibson *et al.*, 2004; Brem *et al.*, 2005; Storey *et al.*, 2005; Vuylsteke *et al.*, 2005). Both *cis*- and *trans*-acting regulatory variants contribute significantly to regulatory variation within a species (Brem *et al.*, 2002; Schadt *et al.*, 2003; Yvert *et al.*, 2003; Monks *et al.*, 2004; Morley *et al.*, 2004; Wayne *et al.*, 2004; Doss *et al.*, 2005; Kirst *et al.*, 2005; Ronald *et al.*, 2005). Although regulatory variation is clearly abundant within populations, its evolutionary significance is harder to ascertain.

The role of selection and genetic drift in the evolution of gene expression

Evolution has many causes. Darwin (1876) poignantly argued that evolution is caused by natural selection. Since then, considerable efforts have been made to examine an alternative explanation: genetic drift, or the stochastic changes that occur as a consequence of finite population size (Kimura, 1983). Three primary methods have been used to distinguish between these possibilities. First, the comparative method examines the evolution of a character in relation to the evolution of other characters or environmental variables in a phylogenetic context (Martins, 2000). Second, neutral models of evolutionary change can be used to test for selection on quantitative characters (Turelli *et al.*, 1988). Finally, the empirical rate of expression divergence across lineages can be used to distinguish between selection and drift (Rifkin *et al.*, 2005). Each of these methods has recently been applied to gene expression data with the hope of addressing the long-standing idea that changes in gene regulation play a substantial role in adaptive evolution.

Comparative methods

The comparative method is based on the correlated evolution of a phenotype with some other trait or environmental variable. Two classic examples are the association between white coat coloration and animals living in snowy environments, and between large testis size and polygynous primate species (Harvey and Pagel, 1991). Although the repeated evolution of a trait is indicative of adaptation, correlated patterns of change can also arise for other reasons. First, shared ancestry causes correlations among characters in the absence of selection (Felsenstein, 1985). If the evolutionary history of the species under study is known, it can be taken into account by using independent contrasts that examine subsets of the tree (Felsenstein, 1985), by partitioning phenotypic changes into shared and unique regions of the lineage (Cheverud *et al.*, 1985) or by using statistical techniques such as regression and general linear models (Grafen, 1989; Martins and Hansen, 1997; Rohlf, 2001). If there is uncertainty in the phylogeny, a Bayesian approach can be used to integrate overall plausible evolutionary histories (Huelsenbeck and Rannala, 2003). Second, correlations between characters can arise as a result of genetic, developmental or environmental constraints, unrelated to natural selection (Arnold, 1992). This is of particular concern for gene expression data, since the *a priori* expectation is that many changes in gene expression will be correlated with each other or with other phenotypes (for other issues related to the comparative method, see Harvey and Purvis, 1991; Martins and Garland, 1991; Diaz-Uriarte and Garland, 1998; Martins, 2000).

Comparative models

As described above, multiple approaches have been developed to account for phenotypic correlations resulting from phylogenetic relationships among taxa (Cheverud *et al.*, 1985; Felsenstein, 1985; Grafen, 1989; Martins and Hansen, 1997; Rohlf, 2001). While most of these methods do not posit a particular model of phenotypic

evolution, the Brownian motion model satisfies many of their assumptions. The Brownian motion model describes the evolution of a phenotype without specifying an explicit genetic model (Felsenstein, 1988). The model assumes (1) a Gaussian (normal) distribution of phenotypes within a population and (2) constant genetic variance regardless of the mean value of the trait (Lande, 1976). The first assumption holds when a large number of unlinked loci make an equally small and independent contribution to a trait. Although this is a common genetic model used in quantitative genetic theory, regulatory networks have a scale-free structure (that is, few genes with many connections and many genes with few connections) that suggests this is not an adequate description of gene regulation. Indeed, empirical studies have shown that some genetic changes have more widespread effects on gene expression than others (Brem *et al.*, 2002; Yvert *et al.*, 2003). The second assumption holds when the phenotypic value of a trait is unconstrained. The validity of this assumption is also a concern since many traits cannot evolve indefinitely without reaching some form of genetic, developmental or physical constraint (Arnold, 1992; Diaz-Uriarte and Garland, 1998). Gene expression levels are undoubtedly limited, which may cause them to appear to be under stabilizing selection, especially over long time periods. Over short periods, expression of most genes may evolve without constraints (Whitehead and Crawford, 2006a, b).

Comparative data

Comparative studies of gene regulation have identified expression patterns correlated with both macroscopic phenotypes and environmental variables. For example, expression of some genes in *Fundulus* species was found to be correlated with temperature rather than with the phylogenetic relationship of the sampled populations (Oleksiak *et al.*, 2002). Of 329 metabolic genes, 22% retained a significant correlation with temperature after correcting for phylogenetic correlations using a generalized least squares method and a phylogeny derived from five microsatellite loci (Whitehead and Crawford, 2006a, b). The generalized least squares method (Martins and Hansen, 1997) is the same as the method of independent contrasts (Felsenstein, 1985) when characters evolve under a Brownian motion model (Rohlf, 2001). In another study, a subset of expression differences among strains of *S. cerevisiae* were found to be correlated with resistance to copper sulfate rather than with DNA sequence divergence at three loci (Fay *et al.*, 2004). Finally, pathogenic and commensal strains of *E. coli* and *Shigella* species showed an incongruence between DNA-based and transcript-based phylogenies, suggesting convergent evolution (Le *et al.*, 2005).

Interpreting comparative analyses

A number of comparative studies have examined gene expression differences within and between primate species. Some studies found a high rate of expression changes in the brain along the human lineage (Enard *et al.*, 2002; Gu and Gu, 2003; Khaitovich *et al.*, 2005a, b), while other studies found little or no evidence of an accelerated rate (Hsieh *et al.*, 2003; Uddin *et al.*, 2004; Gilad *et al.*, 2006a, b). The reasons for these differences

may be technical or methodological and have been discussed elsewhere (Gilad *et al.*, 2006a, b).

Three issues confound the interpretation of comparative studies of gene expression. The first is accounting for nonindependence among samples (Cavalli-Sforza and Edwards, 1967). When species are sampled, their shared evolutionary histories can be accounted for through phylogenetic reconstruction (Cheverud *et al.*, 1985; Felsenstein, 1985; Grafen, 1989; Martins and Hansen, 1997; Rohlf, 2001). However, the situation is more complicated when samples are taken from the same species because different regions of the genome have different evolutionary histories (assuming mating and recombination occur). This makes intraspecific comparative studies (Oleksiak *et al.*, 2002; Fay *et al.*, 2004) subject to correlated patterns of change that cannot easily be controlled for.

A second issue related to comparative data is that many changes in gene expression can be correlated with a phenotype simply because of an inherent genetic or developmental program. For example, genes that show a correlation with resistant to copper sulfate are known to respond to general cellular stresses. Differential expression of these genes is likely a consequence of cells being sensitive or resistant to copper sulfate, rather than because these genes play a direct role in mediating copper resistance (Fay *et al.*, 2004). Similarly, many genes associated with aerobic metabolism have changed expression along the human lineage (Uddin *et al.*, 2004). These changes are likely a response to increased energy consumption rather than selection for changes in gene expression. Single-gene studies are subject to the same caveat; observing a change in gene expression that correlates with a phenotype does not prove that this is the molecular change responsible for adaptive divergence. The difficulty of separating cause and effect in gene expression studies can confound evolutionary interpretations of regulatory changes.

Nonindependence among coregulated genes, caused by the structure of regulatory networks, can also complicate comparative studies. Mutation accumulation studies indicate that groups of functionally related genes often acquire regulatory changes together (Denver *et al.*, 2005), suggesting that many changes in gene expression are not independent. If so, then observing a large number of genes correlated with a character provides just as much evidence as a small number of genes. With some assumptions, this problem can be treated by reducing the number of traits using principle component analysis (Oleksiak *et al.*, 2005) or by estimating the genetic variance and covariance matrices of the traits from family (Lande, 1979; Lande and Arnold, 1983) or population data (Cheverud, 1988). Recent work in this area has generated quantitative genetic methods for distinguishing selection and drift by detecting differences in the genetic variance and covariance matrices from two or more species (Roff, 2000). Even if covariant patterns of gene expression can be taken into account, the number of genes showing particular patterns of variation among samples may be relatively uninformative.

Tests of neutrality

The development of neutral models for the evolution of polygenic characters has provided a quantitative frame-

work to understand the evolution of gene expression. Expression differences within or between species can be compared to those expected given an estimate of the mutational variance. Alternatively, changes in gene expression can be tested for rate heterogeneity across phylogenetic lineages. These models are similar to the models underlying the comparative approach.

Neutral models

A number of neutral models have been proposed for the evolution of polygenic traits (Lande, 1976; Chakraborty and Nei, 1982; Lynch and Hill, 1986; Khaitovich *et al.*, 2005a,b). The first class of models require no explicit genetic basis: given a trait with both a genetic and an environmental contribution, if heritable variations are normally distributed, the evolution of the trait (in the presence or in the absence of selection) can be modeled as a Gaussian process (Lande, 1976). In the absence of selection, the evolution of a trait follows a random walk, as described by Brownian motion models (Felsenstein, 1988), with a mean of zero and variance equal to $h^2\sigma^2t/N$, where $h^2\sigma^2$ is the heritable phenotypic variance, t is the number of generations and N is the effective population size (Lande, 1976). This model led to the first statistical test of neutrality based on the rate of phenotypic evolution (Lande, 1977). The test compares the observed phenotypic variance among lineages to that expected given an estimate of the heritable variation in a population, the effective population size and time. However, not all quantities need to be estimated because the equilibrium between mutation and drift determines the expected amount of phenotypic variation in a population. The input of variation by mutation and the loss of variation by drift is equal to $2NV_m$, where V_m is the mutational variance or the amount of phenotypic variation introduced into a population each generation by mutation. Substituting $2NV_m$ for the heritable variation in a population leads to the classic result that the rate of phenotypic evolution is independent of the population size and depends only on the mutation rate over time (Lande, 1979; Lynch and Hill, 1986). This result is also characteristic of molecular evolution (Kimura, 1968).

Neutral models of phenotypic evolution can also be formulated based on an explicit genetic model (Chakraborty and Nei, 1982; Lynch and Hill, 1986; Khaitovich *et al.*, 2005a,b). These models use population genetics theory (Crow and Kimura, 1970) to describe the mean and variance in the number of neutral mutations segregating within a population and the rate of substitution between species. The main difference among models is in the mutational variance of a trait, V_m , which is determined by how mutations and the genotype-phenotype relationship are modeled. Most models assume that the phenotypic effects of a mutation are normally distributed, for example continuum of alleles model, while others assume that all mutations have a single phenotypic effect, for example step-wise mutation model (Figure 1). Since V_m is a parameter in all of these models, results can be generalized and are quite intuitive. First, the rate of change in gene expression is equal to the rate of mutations that affect a gene's expression. The reason for this is that the difference in expression between two species is the sum of the effects

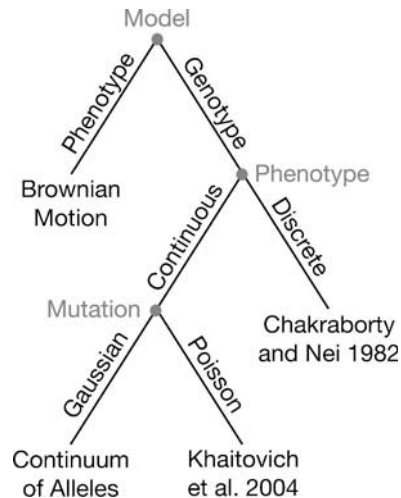


Figure 1 Overview of models used to study the evolution of gene expression. The models are classified as being based on phenotype or genotype, as modeling a continuous or discrete phenotype and as following a Gaussian or Poisson process.

of all the substitutions that have occurred between species. Since the number of neutral substitutions between species is independent of population size (Kimura, 1968), the rate of divergence in gene expression is equal to the mutation rate for a trait times the average effect of a mutation. If the average effect of a mutation is centered at zero, the variance of the difference between species is $2tV_m$, where t is the time since two species split, measured in generations (Lande, 1979, 1980; Chakraborty and Nei, 1982; Lynch and Hill, 1986). Second, the amount of heritable phenotypic variation within a population is a function of the population size and the mutational variance. Because the variance in the number of neutral mutations carried by each individual in a population is also a function of the population size and mutation rate (Crow and Kimura, 1970), the equilibrium level of genetic variance for a trait is again approximately $2NV_m$ (assuming a large effective population size and no dominance) (Chakraborty and Nei, 1982; Lynch and Hill, 1986).

Mutation models

Tests of neutrality require an underlying mutational model. The most commonly used model is the continuum of alleles model, which assumes an infinite number of alleles (Kimura and Crow, 1964) with a continuous range of effects on phenotype (Figure 1). Although any distribution of phenotypic effects can be used, they are usually assumed to follow a Gaussian (normal) distribution (Lynch and Hill, 1986). A Poisson process was used to model the special case of the continuous state model that occurs when mutations are rare (Khaitovich *et al.*, 2005a,b). Khaitovich *et al.* (2005a,b) also considered an asymmetric mutation model where mutational effects follow an extreme value distribution. When mutations are common and their effect size small and symmetric, both models converge to the Gaussian process described by the Brownian motion model.

The multistep mutation model, an extension of the single-step mutation model (Ohta and Kimura, 1973;

Kimura and Ohta, 1978), assumes that each mutation causes a finite increase or decrease in some number of steps from the current allelic state. The multistep mutation model was used to model the evolution of a neutral character following an infinite but discrete distribution of states (Chakraborty and Nei, 1982). Under this model, the phenotype is determined by the sum of the allelic states across all loci, and mutations cause binomial deviations in the number of steps from the current state at each locus. When the binomial deviations are large, they are approximately normally distributed and the discrete state model approaches the continuous state model.

There are a number of concerns in applying any of the above-mentioned models to gene expression data. The first concern involves counting alleles and estimating their effects. One must assume that each mutation is detectable or else classify expression patterns into allelic states. Both options are problematic. Mutations with small effects may be missed because of the error inherent to measuring gene expression. At the same time, small differences resulting from imprecise measurements of the same allele may be erroneously considered different alleles. Without incorporating these sources of error into tests of neutrality, these problems are left unresolved. Furthermore, functionally equivalent alleles cannot be distinguished from those that are identical by descent. The infinite alleles model assumes no back mutations and so does not account for different alleles with the same function. This complicates the interpretation of studies using comparisons of regulatory polymorphism and divergence to infer adaptive divergence (for example Rifkin *et al.*, 2003).

A second concern with applying neutral models to gene expression data is that all of the models assume the mutational variance is constant over time. Put differently, both the discrete and continuous phenotype models assume that the phenotype can evolve without mutational constraints such that the distribution of mutational effects is independent of the phenotype. Although this may be valid for modeling fold changes in gene expression levels over short time periods, this assumption will be violated if the absolute effect of a mutation depends on the current value of the phenotype. This seems likely, as mutations that decrease gene expression levels may be more common when gene expression levels are high and mutations that increase gene expression may be more common when gene expression is low. Theoretical models have been developed to accommodate such biased walks (Lande, 1976; Felsenstein, 1988; Kimmel *et al.*, 1996); however, distinguishing selection from a biased mutation process may not be possible from polymorphism and divergence data alone because of the higher likelihood of convergence.

Rates tests

Neutral models estimate the rate at which mutation and genetic drift create variation within a population and divergence between species. When comparing observed data to neutral expectations, too little variation implies that purifying selection has constrained changes in gene expression, whereas too much variation implies that selection has either maintained variation within a population or driven divergence between species. Tests

of neutrality based on the rate of expression changes are analogous to the tests of neutrality based on the rate of synonymous and nonsynonymous substitutions in protein coding sequences (Fay and Wu, 2003).

Studies comparing intra- and interspecific patterns of gene expression to neutral expectations indicate that the expression levels of most genes are selectively constrained; relatively few genes appear to be subject to adaptive evolution (Hsieh *et al.*, 2003; Rifkin *et al.*, 2003; Lemos *et al.*, 2005). The key parameter in these models is the mutational variance, which is determined by the mutation rate and the average effect size. In one of the first evolutionary comparisons of gene expression on a genomic scale, Rifkin *et al.* (2003) estimated the mutational variance from patterns of gene expression within species. However, estimates of the mutational variance from population data can be unreliable (Turelli *et al.*, 1988; Lemos *et al.*, 2005). If mutations affecting the expression of a gene are rare, the mutational variance estimated from equilibrium levels of variation within a population will vary greatly over time and can be zero if a trait becomes monomorphic (Lynch and Hill, 1986). More recently, Rifkin *et al.* (2005) have directly measured the mutational variance using mutation accumulation lines (see below). Using a range of reasonable values for the mutational variance, Lemos *et al.* (2005) also found that the majority of gene expression levels are stable over time.

An alternative to these methods, one that avoids estimating the mutational variance, is to simply rank genes based on the ratio of polymorphism within a species to divergence between species. For expression patterns driven by positive selection, large differences between species are expected despite little variation within a species. Although this approach does not distinguish between neutral and selected changes, it provides an interesting list of candidate genes for further study (Meiklejohn *et al.*, 2003; Nuzhdin *et al.*, 2004; Gilad *et al.*, 2006a, b). For example, using this ranking system, Gilad *et al.* (2006a, b) showed that a significant fraction of candidate genes identified in a comparison of primate species were transcription factors. Transcription factors are often dose sensitive (Seidman and Seidman, 2002), suggesting that in the absence of directional selection, their expression should be tightly constrained.

Relative rates tests

Methods that detect changes in the rate of divergence on one lineage may be more powerful than methods that assume a constant rate of regulatory evolution on all branches. Selection can cause short periods of rapid evolution, which may rarely increase the overall rate of divergence above neutral expectations. Similar to relative rates tests for protein coding sequences, a change in the rate of expression divergence can be explained not only by positive selection, but also by a change in functional constraint, that is, purifying selection (Fay and Wu, 2003). Nonetheless, likelihood methods have been implemented to test for rate heterogeneity across lineages or for a rate shift at a specific point in a phylogeny (Gu, 2004; Oakley *et al.*, 2005). These methods are based on the Brownian motion model and do not require estimates of the mutational variance because they simply test whether rates of divergence on different

phylogenetic lineages differ from one another. Application of these methods to expression data from paralogous gene families has shown that gene duplication results in an increase in the rate of expression divergence, particularly right after gene duplication (Gu, 2004; Gu *et al.*, 2005). Although these methods have not yet been applied to divergence in the expression of orthologous genes, evidence for lineage-specific rates of evolution across *Drosophila* species was found using a heuristic method (Rifkin *et al.*, 2003).

Empirical patterns of neutral evolution

Theoretical models can be used to predict neutral patterns of evolution, but their accuracy depends upon the validity of the underlying assumptions. Often, these assumptions are difficult to evaluate. An alternative strategy for determining patterns of neutral evolution is to simply observe neutral evolution in action. Changes in the expression of pseudogenes and changes in gene expression observed in mutation accumulation lines have been used as neutral proxies for regulatory evolution.

Pseudogenes

To determine the baseline rate of neutral evolution between humans and chimpanzees, Khaitovich *et al.* (2004) examined patterns of pseudogene expression. Pseudogenes are sequences that resemble genes, but are not thought to have any genetic function. Pseudogenes should evolve neutrally because without a function, mutations in pseudogenes cannot be deleterious or advantageous. Expression of functional genes was found to evolve at a rate similar to that of pseudogenes, suggesting little constraint on gene expression levels. However, for pseudogenes to have been used in this study, they were required to be present and expressed in both species, suggesting they may not be evolving neutrally (Balakirev and Ayala, 2003; Svensson *et al.*, 2006). Only 23 pseudogenes were suitable for this analysis and it is not clear whether this sample size affects the results.

Mutation accumulation

As discussed above, the mutational variance is a critical parameter for modeling the evolution of gene expression. Mutational variance describes the proportion of phenotypic variance added to a population by mutations each generation. Genes with a high mutational variance acquire regulatory changes often, whereas genes with low mutational variance rarely change without the influence of selection. Different genes may have a different propensity for regulatory mutations (Gompel *et al.*, 2005), thus empirical measurements of mutational variance for individual genes will ultimately be needed.

Mutational variance can be directly measured by eliminating natural selection and drift, allowing all mutations (except those causing lethality or sterility) to be maintained. Mutation accumulation lines accomplish this by using either a single individual (for selfing organisms) or a single male–female pair (for sexual species) to found each generation. In *C. elegans*, mutation accumulation lines were maintained for 280 generations and expression divergence was observed for 9% of the 7014 genes examined (Denver *et al.*, 2005). Expression

differences between natural isolates separated for thousands of generations affected only about one fifth as many genes, indicating that new mutations are not limiting for expression divergence. Rather, purifying selection minimizes expression differences in wild populations. Analysis of gene expression in *Drosophila melanogaster* mutation accumulation lines maintained for 200 generations also suggests that stabilizing selection is the primary force shaping regulatory evolution (Rifkin *et al.*, 2005). Fewer changes in gene expression exist among *Drosophila* species than expected based on mutation rates in *D. melanogaster*. Nonetheless, mutational input does appear to influence expression divergence. Genes with the largest mutational variance had the largest expression differences among species, and variability among functional groups was similar in the mutational accumulation lines and interspecific comparisons.

Conclusions and future directions

The discovery of abundant, heritable variation in gene expression segregating in natural populations has reinvigorated investigations into the evolution of gene regulation. A similar flurry of studies followed the discovery of allozyme diversity 40 years ago (Lewontin and Hubby, 1966). In both cases, the primary focus was on whether diversity observed at the molecular level was the result of natural selection. Experimental evolution and evolutionary comparisons of development provide strong evidence that adaptation often occurs by changes in gene regulation. Comparisons of genomic expression patterns may be able to provide definitive answers about the general role of adaptation in the evolution of gene regulation; however, with existing models, observed patterns of regulatory variation are often consistent with both neutral and adaptive models. Elucidating basic parameters of regulatory mutations (for example, their frequency and distributions of mutational effects) will improve these models and create reliable tests for natural selection that can be applied to gene expression data.

Current and future investigations of regulatory evolution will identify polymorphisms underlying population genetic variation in gene expression (Ronald *et al.*, 2005; Stranger *et al.*, 2005; Tao *et al.*, 2006). With these data in hand, we will be able to characterize genetic changes responsible for divergent expression, and design tests for signs of positive selection in regulatory DNA. However, these steps are not straightforward. For example, changes in gene expression, especially between species, are often caused by divergent *cis*-regulatory sequences (Brem *et al.*, 2002; Yan *et al.*, 2002; Schadt *et al.*, 2003; Wittkopp *et al.*, 2004), which are not well understood. The uncoupling of *cis*-regulatory sequence and function limits our ability to predict the phenotypic consequences of individual base substitutions (Tautz, 2000; Wittkopp, 2006). However, detailed studies of *cis*-regulatory regions are in progress for many model systems, including humans (ENCODE, 2004). In the near future, we may be able to predict which bases within a *cis*-regulatory element are functional and nonfunctional, allowing neutrality tests analogous to those used in coding regions to be developed for *cis*-regulatory sequences. Progress has already been made on this front (Hahn, 2006), although it is not yet clear which approach will be most reliable.

We are still at the early stages of understanding the molecular, genetic and evolutionary forces underlying divergent gene expression. Over the next few years, it will be exciting to discover how natural selection has shaped patterns of gene expression within and between species on a genomic scale. With regulatory diversity now documented in many systems, future studies can begin dissecting the genetic basis and biological functions of adaptive changes in gene expression.

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